



Adastra: Brief Corporate Overview



Executive Summary: Strong Clinical Findings – Final Clinical Results

Zotiraciclib in the treatment of recurrent high-grade gliomas (rHGG)



rHGG

In each of three well-defined patient groups the final trial results are robust



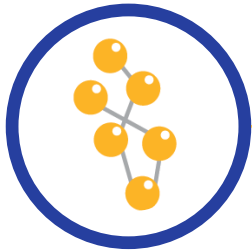
All Patients (overall)



IDH Mutated (enriched)



Unmethylated (predictive)



Adastra zotiraciclib (ZTR) has seen strong efficacy in all patient types and meaningful signals in sub-groups

- **Strong efficacy across all patients** (mPFS of 5.9 mo., ~55% PFS6 for all vs. ~21% seen in historical comparators)
- **Compelling potential for improved efficacy in key sub-populations**
 - IDHmut: mPFS of ~171 days. (vs. ~91 days in the literature*)
 - Unmethylated MGMT: ~2.8 mo. mPFS, ~18% PFS6 (vs. <7% in literature*)



KOLs believe IDHmut data is promising and the target patient group to attain accelerated approval

- **IDHmut signal of zotiraciclib exceeds expected efficacy from TMZ alone** and warrants further investigation
- **Ph 2 trial in IDHmut rHGG** supports accelerated approval
 - Primary Endpoint: PFS6 with PROs considered potentially sufficient registrational endpoint
 - Comparator: US KOLs supportive of TMZ + ZTR experimental arm vs. TMZ

***Historical literature benchmarks:** rHGG literature comparisons are intended to provide a comparable outcomes in rHGG patients, without present definitive outcome information.

Methodology: applied a weighted average of rAA and rGBM outcomes based on segmentation of NCI study (~25% rAA and ~75% rGBM); pooled lomustine data in both rAA and rGBM to generate the base and pooled TMZ for rAA and bev + lomustine for GBM outcomes to determine high end. Note that TMZ outcomes in rAA are at first relapse, whereas rAA lomustine outcomes are in first and second relapse (post-TMZ); additionally, bevacizumab PFS is higher than other commonly used regimens in R/R (e.g., lomustine, TMZ).

Sources: 1) Yung 1999 *J Clin Oncol*, 17: 2762; 2) Chamberlain 2015, *Journal of Neuro-oncology* 122.2:329; 3) Wick (2017) *NEJM*; 377:1954-63

Zotiraciclib (ZTR) is a Potent Oral Kinase Inhibitor that Readily Crosses the Blood Brain Barrier (BBB) – highly efficient Myc depletion

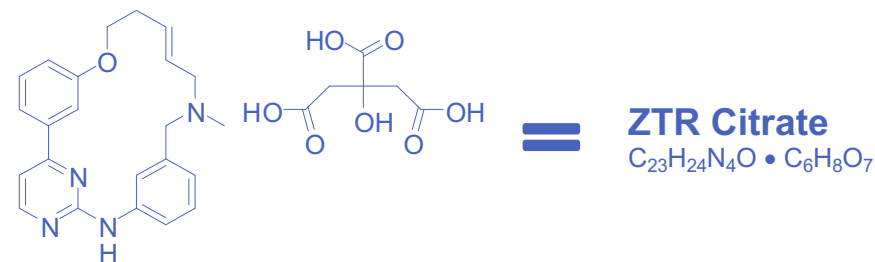
Key Pathway Inhibition

Kinase	IC ₅₀ (nM)	Function	Indication
CDK9	3	Myc depletion	HGG, HCC, TNBC
CDK5	4	DNA damage response	GBM, TNBC
CDK2	5	Cell cycle	GBM, HCC, TNBC
CDK7	37	mRNA transcription	GBM, Ovarian
ERK5	43	Survival and differentiation	DIPG, TNBC

Broad activity addressing tumorigenic kinases

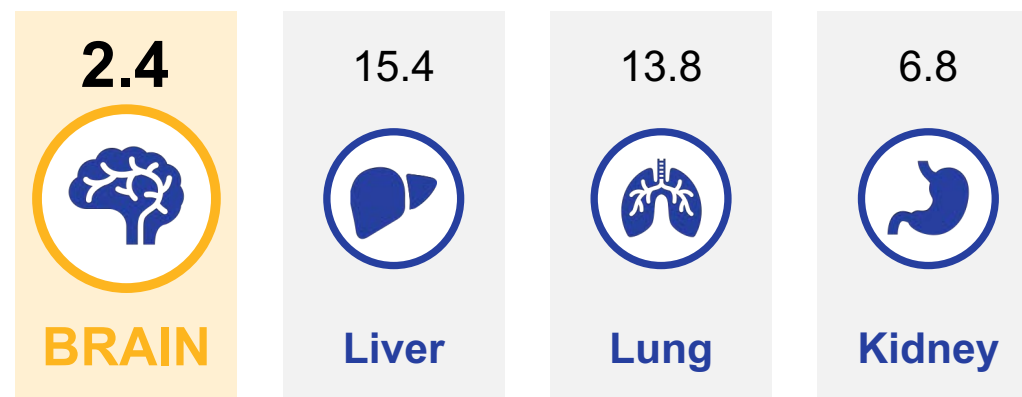
“There are very few drugs out there that hit this constellation of targets, in GBM at least. This agent addresses potential resistance.”

– US KOL #2



Tissue Penetration¹

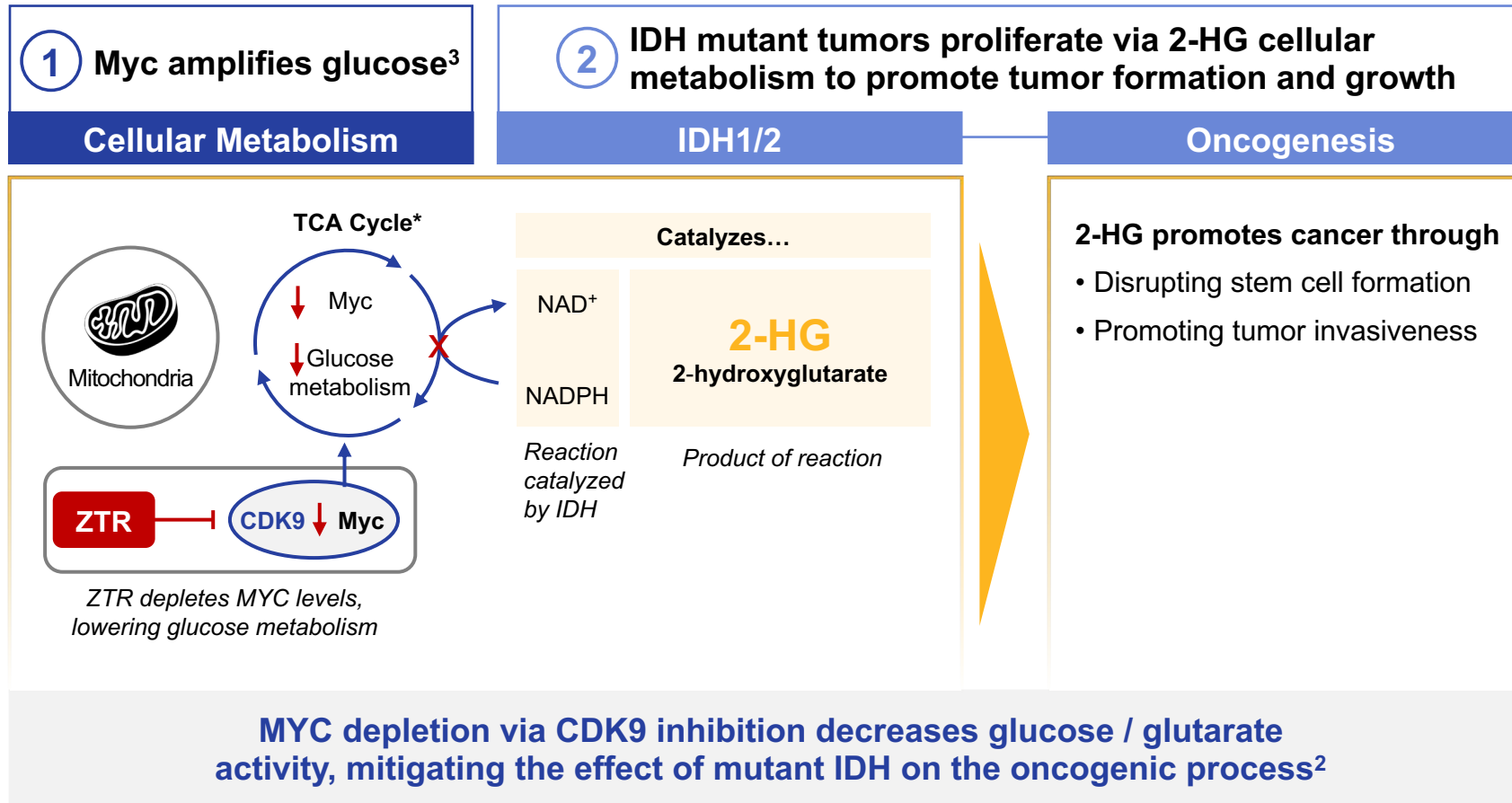
Tissue Plasma Ratio



~2x

more concentrated in the brain than the plasma (also absorbed in other tissue types)

Zotiraciclib depletes Myc → Decreases Glutarate Activity, Specifically Supportive IDH Mutant as an Enriched Population



AACR¹
 American Association
 for Cancer Research

Cancer Therapy: Preclinical **Clinical Cancer Research**

Novel Targeting of Transcription and Metabolism in Glioblastoma¹

Yu-Ting Su¹, Robert Chen¹, Herui Wang¹, Hua Song¹, Qi Zhang¹, Li-Yuan Chen², Hallie Lappin¹, Gabriel Vasconcelos¹, Adrian Lita¹, Dragan Maric¹, Aiguo Li¹, Orietta Celli³, Wu Zhang⁴, Kristan Metzger⁵, Thomas Estok⁶, Micaela Larion¹, Mounes Abu-Asab¹, Zhengping Zhuang¹, Chunzhang Yang¹, Mark R. Gilbert¹, and Jing Wu¹

Abstract

Purpose: Glioblastoma (GBM) is highly resistant to treatment, largely due to disease heterogeneity and resistance mechanisms. We sought to investigate a promising drug that can inhibit multiple aspects of cancer cell survival mechanisms and become an effective therapeutic for GBM patients.

Experimental Design: To investigate TGO2, an agent with known penetration of the blood-brain barrier, we examined the effects as single agent and in combination with temozolomide, a commonly used chemotherapy in GBM. We used human GBM cells and a syngeneic mouse orthotopic GBM model, evaluating survival and the pharmacodynamics of TGO2. Mechanistic studies included TGO2-induced transcriptional regulation, apoptosis, and RNA sequencing in treated GBM cells as well as the investigation of mitochondrial and glycolytic function assays.

Results: We demonstrated that TGO2 inhibited cell proliferation, induced cell death, and synergized with temozolomide in GBM cells with different genetic background derived in astrocytes. TGO2-induced cytotoxicity was blocked by the overexpression of phosphorylated CDK9, suggesting a CDK9-dependent cell killing. TGO2 suppressed transcriptional progression of antiapoptotic proteins and induced apoptosis in GBM cells. We further demonstrated that TGO2 caused mitochondrial dysfunction and glycolytic suppression and ultimately ATP depletion in GBM. A prolonged survival was observed in GBM mice receiving combined treatment of TGO2 and temozolomide. The TGO2-induced decrease of CDK9 phosphorylation was confirmed in the brain tumor tissue.

Conclusion: TGO2 inhibits multiple survival mechanisms and synergistically decreases energy production with temozolomide, representing a promising therapeutic strategy in GBM, currently under investigation in an ongoing clinical trial. *Clin Cancer Res.* 1-14. ©2018 AACR.

Introduction

Glioblastoma (GBM) is the most common primary malignant brain tumor with an annual incidence rate of 27.86 per 100,000 in the United States (1). Despite the statistical improvement of current standard treatment with radiotherapy and temozolomide (TMZ) compared with radiotherapy alone, less than one third of patients survive beyond 2 years and cure is exceedingly rare (2). Therapies targeting particular altered gene products or signal transduction pathways have not provided durable responses in GBM likely due to multiple dynamic and interactive, compensatory, dysregulated pathways (3, 4). Targeting multiple survival mechanisms in tumor can potentially avoid the development of adaptive resistance. In-depth and well-designed preclinical study is the key to identifying such drug candidates to move forward to the clinical trials.

TGO2, a pyrimidine-based multikinase inhibitor with good penetration of blood-brain barrier (BBB), has been shown to inhibit multiple cyclin-dependent kinases (CDK) and regulate transcriptional machinery (5, 6). CDK9, the primary target of TGO2, is a serine/threonine kinase that is critical for stimulating transcriptional elongation through RNA polymerase II (RNA Pol II; ref. 7-9). CDK7 regulates CDK9 through phosphorylation at Threonine 186 (T186) to facilitate the transcriptional process. CDK9, in turn, controls the RNA Pol II C-terminal domain through phosphorylation of Serine 2 to modulate the transcriptional process (10). When CDK9 activity is inhibited, short-lived proteins are depleted due to their need to be frequently rephosphorylated (11). As a consequence of the transcriptional regulation, TGO2 potentially affects multiple essential survival signals of cancers.

TMZ, an orally administered alkylating agent that has proven efficacy in GBM, has a cytotoxic effect associated with induction of cell death (12). However, its treatment effects are limited by multiple resistance mechanisms including, but not limited to, O-6-methylguanine-DNA methyltransferase (MGMT) expression (13, 14). We therefore investigated the effects of TGO2 in GBM models with both low and high MGMT expression to determine

¹Neuro-Oncology Branch, Center for Cancer Research, National Cancer Institute, Bethesda, Maryland; ²Critical Care Medicine Department, Clinical Center, NIH, Bethesda, Maryland; ³Tow and Irving Cancer Care Facility, National Institute of Neurological Disorders and Stroke, NIH, Bethesda, Maryland; ⁴Trigen Pharmaceuticals, Carlsbad, California; ⁵Section of Neuro-pathology, National Eye Institute, NIH, Bethesda, Maryland

Note: Supplementary data for this article are available at Clinical Cancer Research Online (<http://clincancerres.aacrjournals.org/>).

Corresponding Author: J. Wu, Neuro-Oncology Branch, Building 202, Room 221, 9030 Old Georgetown Road, Bethesda, MD 20892. Phone: 240-764-0326; E-mail: jing.wu@nih.gov

© 2018 American Association for Cancer Research.

www.aacrjournals.org **AACR** on

<https://clincancerres.aacrjournals.org/content/clincanres/24/5/1124.full.pdf>

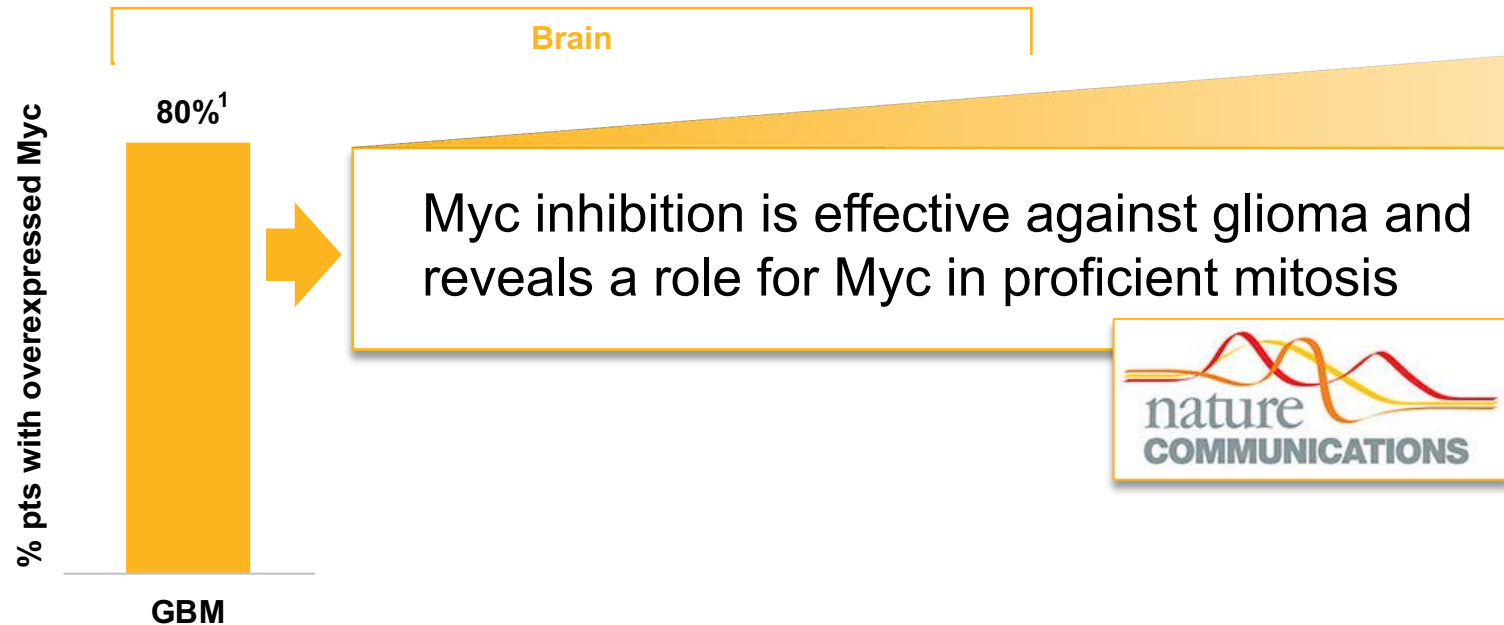
Target Myc Protein: Overexpressed in ~80% of Glioma Patients (Prevalent in Many Other Cancer Types)



Gliomas

A well-defined opportunity to spearhead zotiraciclib development, characterized by Myc overexpression

Cancer Types with Myc Overexpression



nature COMMUNICATIONS

ARTICLE

Received 8 Feb 2014 | Accepted 9 Jul 2014 | Published 18 Aug 2014

DOI: 10.1038/ncom06322 OPEN

Myc inhibition is effective against glioma and reveals a role for Myc in proficient mitosis

Daniela Annibali^{1,2*}, Jonathan R. Whittfield^{3,4*}, Emilia Favuzzi², Toni Jauset^{3,4}, Erika Serrano^{3,4}, Isabel Cuartas^{3,4}, Sara Redondo-Campos^{3,4}, Gerard Folch^{3,4}, Alba González-Juncá^{3,4}, Nicole M. Sodi^{1,5}, Daniel Massó-Vallés^{3,4}, Marie-Eve Beaulieu^{3,4}, Lamorna B. Swigart¹, Margaret M. Mc Gee⁶, Maria Patrizia Somma², Sergio Nas², Joan Seoane^{3,4,7}, Gerard I. Evan³ & Laura Soucek^{3,4}

Gliomas are the most common primary tumours affecting the adult central nervous system and respond poorly to standard therapy. Myc is causally implicated in most human tumours and the majority of glioblastomas have elevated Myc levels. Using the Myc dominant negative Onmyc, we previously showed that Myc inhibition is a promising strategy for cancer therapy. Here, we preclinically validate Myc inhibition as a therapeutic strategy in mouse and human glioma, using a mouse model of spontaneous multifocal invasive astrocytoma and its derived neurospheres, human glioblastoma cell lines, and patient-derived tumours both *in vivo* and in orthotopic xenografts. Across all these experimental models we find that Myc inhibition reduces proliferation, increases apoptosis and remarkably elicits the formation of multinucleated cells that then arrest or die by mitotic catastrophe, revealing a new role for Myc in the proficient division of glioma cells.

¹Department of Pathology, Helen Diller Family Comprehensive Cancer Center, University of California at San Francisco, San Francisco, California 94143, USA. ²Istituto di Biologia, Medicina Molecolare e Nanobiologia, CNR, Dipartimento di Biologia e Biocologia, Università La Sapienza, 00185 Rome, Italy. ³Uniti Children Institute of Oncology (CINIO), Edifici Medicares, Hospital del Children, 08035 Barcelona, Spain. ⁴Insitució Autònoma de Barcelona, Barcelona (Cerdanyola del Vallès), 08193 Barcelona, Spain. ⁵Department of Biochemistry, Sanger Building, University of Cambridge, Cambridge CB2 1QW, UK. ⁶UCD School of Biomedical & Biomedical Sciences, UCD Conway Institute, University College Dublin, Belfield, Dublin 4, Ireland. ⁷Instituto Catalana de Recerca i Estudis Avançats (ICREA), 08010 Barcelona, Spain. *These authors contributed equally to this work. Correspondence and requests for materials should be addressed to L.S. (email: lsoucek@uio.nci.nih.gov).

nature COMMUNICATIONS | 5(4432) DOI: 10.1038/ncom06322 | www.nature.com/naturecommunications

© 2014 Macmillan Publishers Limited. All rights reserved.

Adastra Successful Completion of the NCI Ph 1b Trial in rHGG

“

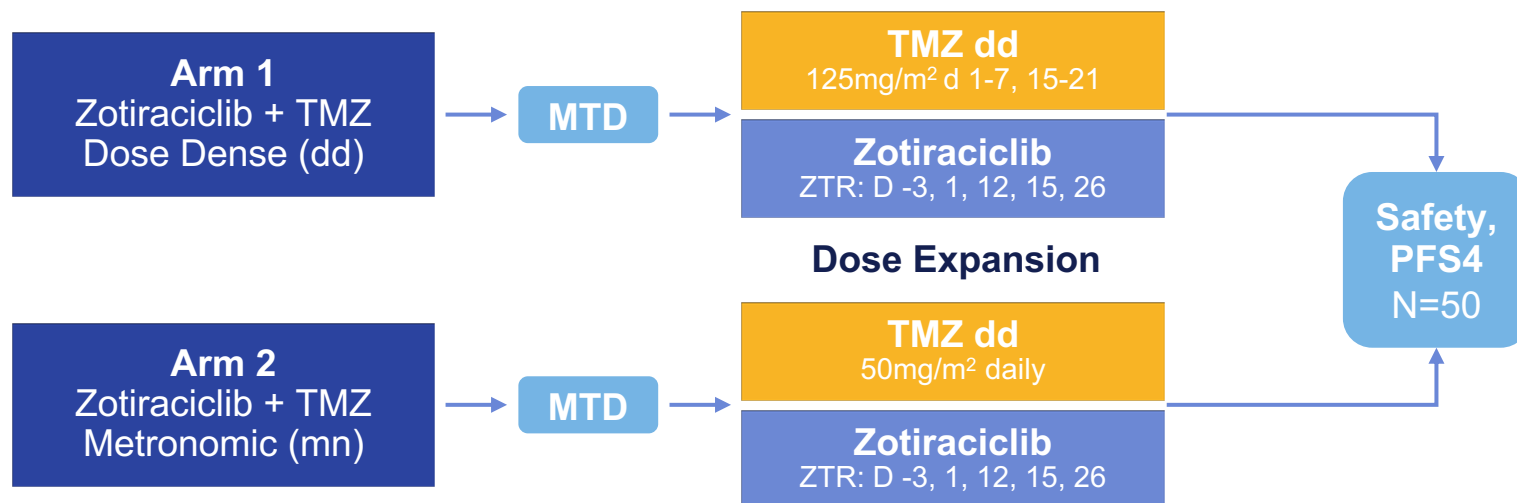
“Strong efficacy in all patient groups”

**US and EU
Clinical Advisors**

”

Recurrent High-grade Glioma (rHGG) Trial Design and Study Objectives

- Determine zotiraciclib optimal tolerated dose (RP2D) – 200 mg
- Determine optimal ZTR dosing schedule with TMZ
- **Efficacy primary:** Progression-free survival (PFS4) months and safety



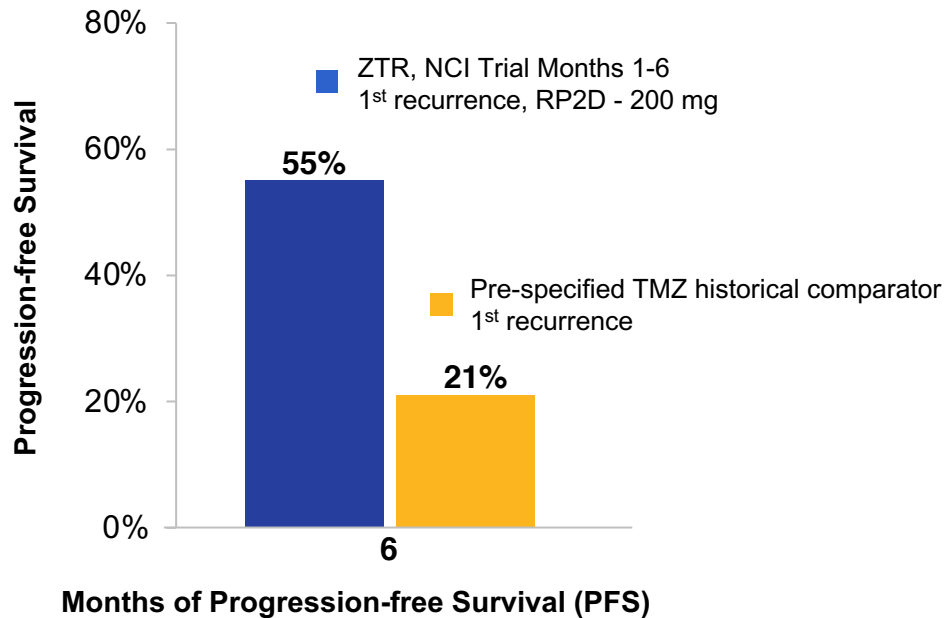
Zotiraciclib Demonstrated *Strong Clinical Result: PFS6 55%* (First Recurrence, RP2D)

 **All Patients** (1st Recurrence)

 **IDH Mutated** (enriched)

 **Unmethylated** (predictive)

ZTR ~3x Improvement in PFS6 – matched recurrence



Category: Final Dose Analysis – RP2D

No. of pts	19
No. of pts censored*	7 (36%)
No. pts with PD	12 (63%)
PFS6	55%
mPFS	5.9 mo, CI 2.5-9.0

“*Unmet need is extremely high in recurrent gliomas patients. This additive benefit over SoC is very meaningful.*”

– US KOL #1

Enriched Tumor Response, ~2x Improvement in mPFS ZTR in rHGG IDHmut Tumors Versus TMZ Control



All Patients (overall)



IDH Mutated (enriched)



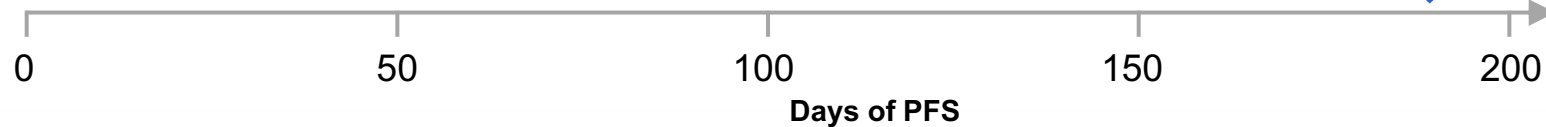
Unmethylated (predictive)

+ZTR ~2x Doubling of Benefit in IDHmut Sub-group

171 days of mPFS
ZTR + SoC¹

+ Zotiraciclib, ~90% >PFS over SoC

91 days mPFS TMZ treatment
(monotherapy)²



■ ZTR, NCI Trial mPFS additional improvement¹
n = 20, including 5 patients at 12 months,
1st and 2nd recurrence – (73% / 27%)

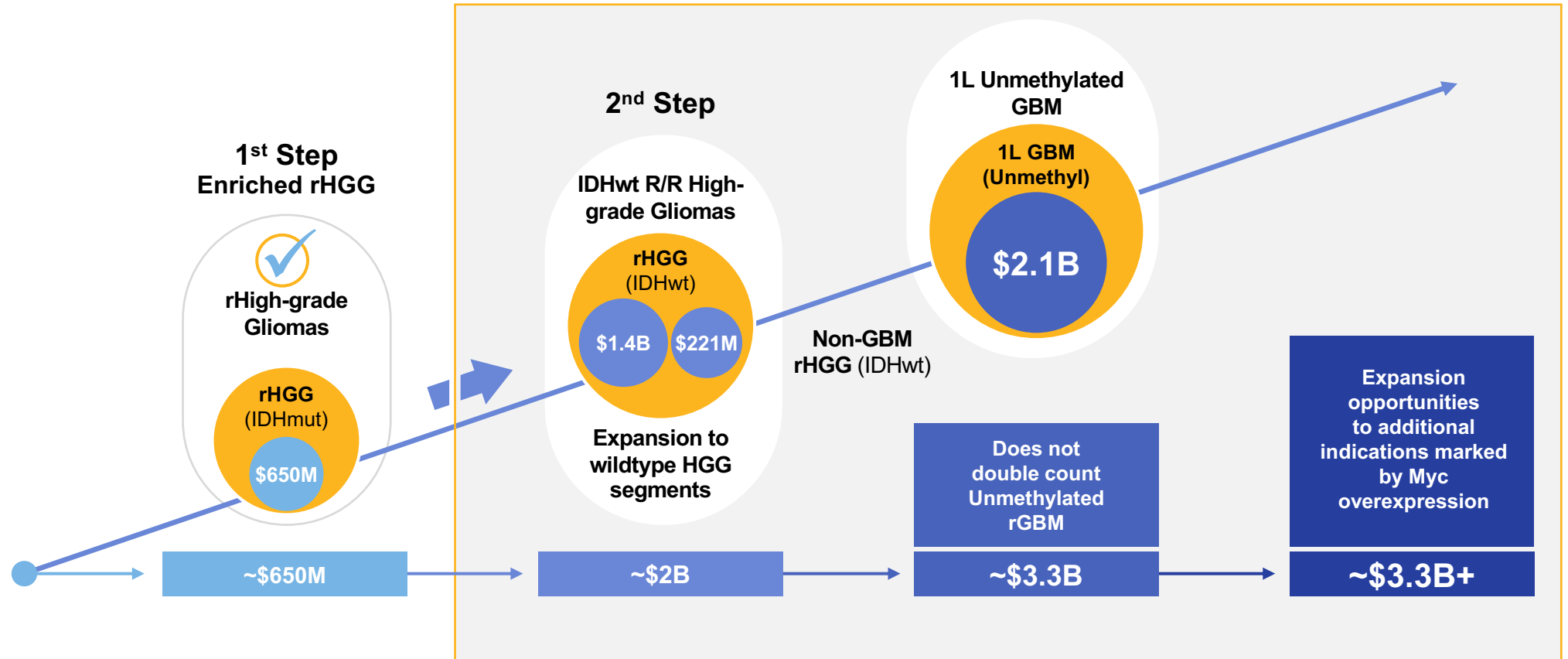
■ Pre-specified TMZ historical comparator²
1st recurrence (100%)

“No question IDHmut recurrent
glioma patients are the most logical
place for next development...
options today are very limited.”

– DE KOL #1

Recurrent High-grade Gliomas Represent a Significant 1st Step into a Large Glioma Market Opportunity: ~\$3.3B

Overall Glioma, R/R and 1L Unmethylated



Methodology: 90% of patients initiate 1L therapy and 75% initiate 2L therapy^{5,6}; assume 5 months on therapy for rGBM patients because PFS is ~3 mos (+2 mos for ZTR efficacy)⁷, 7 months for AA because PFS is ~5 mos (+2 mos for ZTR efficacy)⁸, and ~6 month PFS for unmethylated (+2 for ZTR efficacy)¹; trial duration based on analog analysis from ct.gov | Sources: 1) Hegi et al. (2005); 2) Rivera et al. (2010) *Neuro Oncol*; 3) GLOBOCAN 2018; 4) NCCN; 5) Fabbro-Peray, Pascale, et al. *J of Neuro-oncol* 142.1 (2019); 6) 91-101.Girvan, Allicia C., et al., *Drugs in context* 4 (2015).; 7) Wick, Wolfgang, et al, *NEJM* 377.20 (2017): 1954-1963.; 8) Chamberlain, Marc C. *J Neuro oncol* 122.2 (2015):329-338.

Summary: Zotiraciclib, recurrent high-grade gliomas (rHGG)

NCI Trial Data has been Exceptionally Well-received by Leading US and EU KOLs



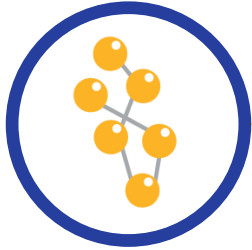
All Patients (overall)



IDH Mutated (enriched)



Unmethylated (predictive)



Adastrazotiraciclib (ZTR) has seen strong efficacy in all patient types and meaningful signals in sub-groups

- **Strong efficacy across all patients** (mPFS of 5.9 mo., ~55% PFS6 for all vs. ~21% seen in historical comparators)
- **Compelling potential for improved efficacy in key sub-populations**
 - IDHmut: mPFS of ~171 days. (vs. ~91 days in the literature*)
 - Unmethylated MGMT: ~2.8 mo. mPFS, ~18% PFS6 (vs. <7% in literature*)



KOLs believe IDHmut data is promising and the target patient group to attain accelerated approval

- **IDHmut signal of zotiraciclib exceeds expected efficacy from TMZ alone** and warrants further investigation
- **Ph 2 trial in IDHmut rHGG** supports accelerated approval
 - Primary Endpoint: PFS6 with PROs considered potentially sufficient registrational endpoint
 - Comparator: US KOLs supportive of TMZ + ZTR experimental arm vs. TMZ

***Historical literature benchmarks:** rHGG literature comparisons are intended to provide a comparable outcomes in rHGG patients, without present definitive outcome information. Methodology: applied a weighted average of rAA and rGBM outcomes based on segmentation of NCI study (~25% rAA and ~75% rGBM); pooled lomustine data in both rAA and rGBM to generate the base and pooled TMZ for rAA and bev + lomustine for GBM outcomes to determine high end. Note that TMZ outcomes in rAA are at first relapse, whereas rAA lomustine outcomes are in first and second relapse (post-TMZ); additionally, bevacizumab PFS is higher than other commonly used regimens in R/R (e.g., lomustine, TMZ).

Thank You